

Application No.

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|---|--|-------------------|---------|
| Dist 24952's ARN/ RIA Code# | Sub-Broker's ARN | Sub-Broker's Code | E347831 |
| <input type="checkbox"/> #By mentioning RIA/PMS code, I/ We authorize you to share with the Investment Adviser/ Portfolio Manager the details of my/our transactions in the scheme(s) of Kotak Mahindra Mutual Fund. Declaration for "Execution-only" transactions (only where EUIN box is left blank) | | | |
| <input type="checkbox"/> "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker." | | | |
| SIGNATURE(S) <div style="border: 1px solid black; height: 30px; width: 100%; display: flex; align-items: center; justify-content: center;"> ✗ </div> | | | |
| Sole / First Applicant | Second Applicant (To be signed by All Applicants) | Third Applicant | |

EXISTING UNIT HOLDER INFORMATION

Folio No.

Name

Name of scheme

Transaction date Transaction amount

(Existing unit holders are required to mention the transaction date and corresponding transaction amount for which Trigger Facility has to be activated. In case of any discrepancy in date or amount, trigger facility shall be activated for the units purchased through first purchase / switch in the said scheme.)

I/We have read and understood the contents of the offer document & the instructions for the Trigger Plan. I/We hereby apply for the same and agree to abide by the terms, conditions rules & regulations of the plan.

TRIGGER OPTIONS (Select any one)

| | |
|--|---|
| Value Trigger Amount Investment value exceeds ₹ <input style="width: 150px;" type="text"/> | Appreciation/ Depreciation in % Capital appreciation by <input style="width: 50px;" type="text"/> % |
| OR | |
| Investment value goes below ₹ <input style="width: 150px;" type="text"/> | Capital depreciation by <input style="width: 50px;" type="text"/> % |

TRIGGER ACTION (Select any one)

Please tick any one of the following actions to be initiated on encountering the Trigger Option

A. Redemption

Full Redemption
 Redemption to the extent of capital appreciation
 % of Current Value

OR

B. Switch

Full Switch
 Switch-out to the extent of capital appreciation
 % of Current Value

To Scheme, Plan and Option

SIGNATURES (to be signed by all joint holder if the mode of holding is joint)

| | | |
|--|------------------|-----------------|
| SIGNATURE(S) <div style="border: 1px solid black; height: 30px; width: 100%; display: flex; align-items: center; justify-content: center;"> ✗ </div> | | |
| First / Sole Applicant / Guardian | Second Applicant | Third Applicant |

INSTRUCTIONS FOR TRIGGER

- Minimum investment in the trigger Facility is ₹ 20000/-, and in multiples of ₹ 0.01 thereof.
- Trigger Facility will be available only for growth option. In case investor has opted for trigger facility and subsequently switches from growth option to IDCW option, the trigger facility will be automatically deactivated.
- Please use separate forms for each trigger option under each folio / scheme / plan. For multiple trigger option under same folio/scheme/plan please use separate form for each trigger option.
- Unit holders are requested to tick only one trigger action. In the event of multiple selections in the same form, the trigger request will be deemed to be invalid and would not be registered.
- For Value Trigger the investment value specified by the unit holder must be in multiples of 1 (whole numbers only no decimals eg: Rs. 20001, Rs.30015) and in case of appreciation/depreciation trigger the percentage specified must be in multiples of 1% only (e.g. 10%, 11%)
- The minimum application amount criteria for switch into transferee schemes will not be applicable.
- All trigger option will be processed at transaction level. Since, redemption is processed on First-in First-out basis, investor having multiple transactions in single folio and opting for trigger facilities will have to select the redemption/switch action at transaction level.
- It is mandatory, for existing investors of the transferor schemes to mention the transaction date and corresponding amount for which trigger has to be activated
- Trigger option is available in below specified schemes only.
Transferor Schemes: • Kotak Bluechip Fund • Kotak India EQ Contra Fund • Kotak Flexicap Fund • Kotak Equity Opportunities • Kotak Small Cap Fund • Kotak Emerging Equity • Kotak Infrastructure & Economic Reform Fund • Kotak Gilt Investment • Kotak Bond • Kotak Pioneer Fund • Kotak Focused Equity Fund • Kotak Balanced Advantage Fund • Kotak ESG Opportunities Fund • Kotak Nifty Next 50 Index Fund • Kotak Nifty Next 50 Index Fund
Transferee schemes: • Kotak Liquid • Kotak Money Market Fund • Kotak Savings Fund • Kotak Low Duration Fund • Kotak Banking & PSU Debt Fund
- Trigger is not to be conceived as an assurance on part of Kotak Mutual Fund that the investor will manage to receive a particular sum of money/ appreciation/ and/ or fixed % of sum.

 Investor's Name
 Folio Number

✗

 Official Acceptance Point Stamp & Sign